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9 10 11	Deep neural networks are more accurate than humans at detecting sexual orientation from facial images
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Abstract

31 We show that faces contain much more information about sexual orientation than can be 32 perceived and interpreted by the human brain. We used deep neural networks to extract features 33 from 35,326 facial images. These features were entered into a logistic regression aimed at 34 classifying sexual orientation. Given a single facial image, a classifier could correctly distinguish 35 between gay and heterosexual men in 81% of cases, and in 71% of cases for women. Human 36 judges achieved much lower accuracy: 61% for men and 54% for women. The accuracy of the 37 algorithm increased to 91% and 83%, respectively, given five facial images per person. Facial 38 features employed by the classifier included both fixed (e.g., nose shape) and transient facial 39 features (e.g., grooming style). Consistent with the prenatal hormone theory of sexual 40 orientation, gay men and women tended to have gender-atypical facial morphology, expression, 41 and grooming styles. Prediction models aimed at gender alone allowed for detecting gay males 42 with 57% accuracy and gay females with 58% accuracy. Those findings advance our 43 understanding of the origins of sexual orientation and the limits of human perception. 44 Additionally, given that companies and governments are increasingly using computer vision 45 algorithms to detect people's intimate traits, our findings expose a threat to the privacy and safety 46 of gay men and women. 47

48 *Keywords*: sexual orientation, face, facial morphology, prenatal hormone theory,

49 computational social science, big data, privacy, artificial intelligence

51 Deep neural networks are more accurate than humans at detecting sexual orientation from facial
 52 images

53	The science of judging one's character from their facial characteristics, or physiognomy,
54	dates back to ancient China and Greece (Jenkinson, 1997). Aristotle and Pythagoras were among
55	its disciples, and the latter used to select his students based on their facial features (Riedweg,
56	2005). Such beliefs have persisted and grown in popularity over the centuries. Robert FitzRoy,
57	the captain of the Beagle, believed that Darwin's nose revealed a lack of energy and
58	determination, and was close to barring him from the historic voyage (Glaser, 2002). Cesare
59	Lombroso, the founder of criminal anthropology, believed that criminals could be identified by
60	their facial features. He claimed, for example, that arsonists have a "softness of skin, an almost
61	childlike appearance, and an abundance of thick straight hair that is almost feminine"
62	(Lombroso, 1911, p. 51). By the eighteenth century, physiognomy "was not merely a popular fad
63	but also the subject of intense academic debate about the promises it held for future progress"
64	(Porter, 2003, p. 497).
65	Physiognomy is now universally, and rightly, rejected as a mix of superstition and racism
66	disguised as science (Jenkinson, 1997). Due to its legacy, studying or even discussing the links
67	between facial features and character became taboo, leading to a widespread presumption that no
68	such links exist. However, there are many demonstrated mechanisms that imply the opposite.
69	Such mechanisms can be arranged into three groups. First, there is much evidence that character
70	can influence one's facial appearance (e.g., Lõhmus, Sundström, & Björklund, 2009; Zebrowitz
71	& Collins, 1997). For example, women that scored high on extroversion early in life tend to
72	become more attractive with age (Zebrowitz, Collins, & Dutta, 1998). Second, facial appearance
73	can alter one's character. Facial appearance drives first impressions of others, influencing our

74	expectations and behavior toward them, which, in turn, shapes their character (Berry, 1991;
75	Berry & Brownlow, 1989; Penton-Voak, Pound, Little, & Perrett, 2006; Todorov, Said, Engell, &
76	Oosterhof, 2008; Zebrowitz & Collins, 1997; Zebrowitz et al., 1998). Good-looking people, for
77	example, receive more positive social feedback, and thus tend to become even more extroverted
78	(Lukaszewski & Roney, 2011). Finally, there is a broad range of factors affecting both facial
79	appearance and one's traits. Those include pre- and post-natal hormonal levels (Jones et al.,
80	2015; Lefevre, Lewis, Perrett, & Penke, 2013; Whitehouse et al., 2015), developmental history
81	(Astley, Stachowiak, Clarren, & Clausen, 2002), environmental factors, and gene expression
82	(Ferry et al., 2014). Testosterone levels, for instance, significantly affect both: behavior (e.g.,
83	dominance) and facial appearance (e.g., facial-width-to-height-ratio; Lefevre et al., 2014).
84	The existence of such links between facial appearance and character is supported by the
85	fact that people can accurately judge others' character, psychological states, and demographic
86	traits from their faces (Zebrowitz, 1997). For example, we can easily and accurately identify
87	others' gender, age, race, or emotional state-even from a glimpse of their faces (Brown &
88	Perrett, 1993; Macrae & Bodenhausen, 2000; Roberts & Bruce, 1988). People also judge, with
89	some minimal accuracy, others' political views (e.g., Rule & Ambady, 2010; Samochowiec,
90	Wänke, & Fiedler, 2010), honesty (e.g., Bond, Berry, & Omar, 1994), personality (e.g.,
91	Borkenau, Brecke, Möttig, & Paelecke, 2009), sexual orientation (e.g., Rule & Ambady, 2008),
92	or even the likelihood of winning an election (e.g., Ballew & Todorov, 2007; Little, Burriss,
93	Jones, & Roberts, 2007; Todorov, Mandisodza, Goren, & Hall, 2005). Such judgments are not
94	very accurate, but are common and spontaneous. Importantly, the low accuracy of humans when
95	judging character from others' faces does not necessarily mean that relevant cues are not
96	prominently displayed. Instead, people may lack the ability to detect or interpret them. It is

97 possible that some of our intimate traits are prominently displayed on the face, even if others 98 cannot perceive them. Here, we test this hypothesis using modern computer vision algorithms. 99 Recent progress in AI and computer vision has been largely driven by the widespread 100 adoption of deep neural networks (DNN), or neural networks composed of a large number of 101 hidden layers (LeCun, Bengio, & Hinton, 2015). DNNs mimic the neocortex by simulating large, 102 multi-level networks of interconnected neurons. DNNs excel at recognizing patterns in large, 103 unstructured data such as digital images, sound, or text, and analyzing such patterns to make 104 predictions. DNNs are increasingly outperforming humans in visual tasks such as image 105 classification, facial recognition, or diagnosing skin cancer (Esteva et al., 2017; LeCun et al., 106 2015; Lu & Tang, 2014). The superior performance of DNNs offers an opportunity to identify 107 links between characteristics and facial features that might be missed or misinterpreted by the 108 human brain.

109 We tested our hypothesis on a specific intimate trait: sexual orientation. We chose this 110 trait for three main reasons. First, it is an intimate psycho-demographic trait that cannot be easily 111 detected by others. While people can detect others' sexual orientation from both neutral and 112 expressive faces (Rule & Ambady, 2008; Tskhay & Rule, 2015), or even from a single facial 113 feature such as the mouth, eyes, or hair (Lyons, Lynch, Brewer, & Bruno, 2014; Rule, MacRae, 114 & Ambady, 2009), the accuracy of such judgments is very limited, ranging from 55 to 65% 115 (Ambady, Hallahan, & Conner, 1999; Lyons et al., 2014; Rule et al., 2009). The links between 116 facial features and sexual orientation, however, may be stronger than what meets the human eye.

117 Recent evidence shows that gay men and lesbians,¹ who arguably have more experience and

118 motivation to detect the sexual orientation of others, are marginally more accurate than

119 heterosexuals (Brambilla, Riva, & Rule, 2013).

120 Second, the widely accepted prenatal hormone theory (PHT) of sexual orientation 121 predicts the existence of links between facial appearance and sexual orientation. According to the 122 PHT, same-gender sexual orientation stems from the underexposure of male fetuses or 123 overexposure of female fetuses to androgens that are responsible for sexual differentiation (Allen 124 & Gorski, 1992; Jannini, Blanchard, Camperio-Ciani, & Bancroft, 2010; Udry & Chantala, 125 2006). As the same and rogens are responsible for the sexual dimorphism of the face, the PHT 126 predicts that gay people will tend to have gender-atypical facial morphology (Bulygina, 127 Mitteroecker, & Aiello, 2006; Rhodes, 2006; Whitehouse et al., 2015). According to the PHT, 128 gay men should tend to have more feminine facial features than heterosexual men, while lesbians 129 should tend to have more masculine features than heterosexual women. Thus, gay men are 130 predicted to have smaller jaws and chins, slimmer eyebrows, longer noses, and larger foreheads; 131 the opposite should be true for lesbians. Furthermore, as prenatal androgen levels also drive the 132 sexual differentiation of behaviors and preferences during adulthood (Meyer-Bahlburg, 1984; 133 Udry, 2000), the PHT predicts that gay people may tend to adopt gender-atypical facial 134 adornments, expressions, and grooming styles. Such gender-atypical behaviors and preferences

¹ Following the APA's recommendation, the term "gay" is used to refer to same-gender sexual orientation.

might also be encoded in gay culture, further amplifying the effect of the prenatal androgenlevels.

137 Previous empirical evidence provides mixed support for the gender typicality of facial 138 features of gay men and women. Huges and Bremme (2011) studied a sample of 60 images of 139 gay men and concluded that gay men had, on average, more feminine facial features. Lyons et al. 140 (2014) asked 120 human judges to rate the masculinity and femininity of 80 faces of men and 141 women. They found that on average, heterosexual women and gay men were rated as more 142 feminine and less masculine than lesbians and heterosexual men. However, Skorska, Geniole, 143 Vrysen, McCormick, and Bogaert (2015) used a sample of 390 photographs of men and women, 144 and found that both lesbians and gay men had more masculine faces than heterosexual women 145 and men, respectively. Valentova, Kleisner, Havlíček, and Neustupa (2014, p. 353) used a sample 146 of facial images of 40 gay and 40 heterosexual men, and found that on average, gay men had 147 relatively wider and shorter faces, smaller and shorter noses, and larger and more rounded jaws, 148 or "a mosaic of both feminine and masculine features." Such mixed findings might be attributed 149 to the difficulty of precisely defining and measuring facial femininity. They might also be 150 attributed to the fact that the difference between gay and heterosexual faces may be too subtle to 151 be reliably detected in the small samples employed in these studies. This study aims to address 152 those limitations by using a much larger sample size and data-driven methods, including an 153 algorithm-based measure of facial femininity.

Finally, the predictability of sexual orientation could have serious and even lifethreatening implications to gay men and women and the society as a whole. In some cultures, gay men and women still suffer physical and psychological abuse at the hands of governments, neighbors, and even their own families. Perhaps due to discrimination and stigmatization, gay

158 people are also at a higher risk of depression, suicide, self-harm, and substance abuse (King et 159 al., 2008). Consequently, their well-being and safety may depend on their ability to control when 160 and to whom to reveal their sexual orientation. Press reports suggest that governments and 161 corporations are developing and deploying face-based prediction tools aimed at intimate psycho-162 demographic traits, such as the likelihood of committing a crime, or being a terrorist or 163 pedophile (Chin & Lin, 2017; Lubin, 2016). The laws in many countries criminalize same-164 gender sexual behavior, and in eight countries-including Iran, Mauritania, Saudi Arabia, and 165 Yemen—it is punishable by death (UN Human Rights Council, 2015). It is thus critical to inform 166 policymakers, technology companies and, most importantly, the gay community, of how accurate 167 face-based predictions might be.

168 This work examines whether an intimate psycho–demographic trait, sexual orientation, is 169 displayed on human faces beyond what can be perceived by humans. We address this question 170 using a data-driven approach. A DNN was used to extract features from the facial images of 171 35,326 gay and heterosexual men and women. These features were entered (separately for each 172 gender) as independent variables into a cross-validated logistic regression model aimed at 173 predicting self-reported sexual orientation. The resulting classification accuracy offers a proxy 174 for the amount of information relevant to the sexual orientation displayed on human faces. We 175 also explore the features employed by the classifier and examine whether, as predicted by the 176 PHT, the faces of gay men and women tend to be gender atypical. Furthermore, we compare the 177 accuracy of the computer algorithm with that of human judges. Human accuracy does not only 178 provide a baseline for interpreting the algorithm's accuracy, but it also helps to examine whether 179 the nonstandardized facial images used here are not more revealing of sexual orientation than 180 standardized facial images taken in a controlled environment. Finally, using an independent

181 sample of gay men's facial images, we test the external predictive validity of the classifier182 developed here.

183

Study 1a: Using Deep Neural Network to Detect Sexual Orientation

184 In Study 1a, we show that a DNN can be used to identify sexual orientation from facial 185 images. Previous studies linking facial features with sexual orientation used either images of neutral² faces taken in a laboratory (e.g., Skorska et al., 2015; Valentova et al., 2014) or self-186 187 taken images obtained from online dating websites (e.g., Hughes & Bremme, 2011; Lyons et al., 188 2014; Rule & Ambady, 2008; Rule, Ambady, Adams, & Macrae, 2008). We employed the latter 189 approach, as such images can be collected in large numbers, from more representative samples, 190 and at a lower cost (from the perspective of both the participants and researchers). Larger and 191 more representative samples, in turn, enable the discovery of phenomena that might not have 192 been apparent in the smaller, lab-based samples. Additionally, using self-taken, easily accessible 193 digital facial images increases the ecological validity of our results, which is particularly 194 important given their critical privacy implications.

Images taken and uploaded by the participants have a number of potential drawbacks.They may vary in quality, facial expression, head orientation, and background. Furthermore,

² We believe that no face can be truly "neutral." People may systematically differ in the expression that they adopt when instructed to "adopt a neutral expression." Furthermore, even an image of a perfectly neutral face (e.g., taken under anesthesia) would still contain traces of typically adopted expressions (e.g., laugh lines), grooming style (e.g., skin health), and one's environment (e.g., tan).

197 given that they were originally posted on a dating website, they might be especially revealing of 198 sexual orientation. We take several steps to mitigate the influence of such factors. First, the facial 199 features are extracted using a DNN that was specifically developed to focus on non-transient 200 facial features, disregarding the head's orientation and the background. Second, Study 1b 201 investigates the areas of the face employed by the classifier and shows that the classifier focuses 202 on the face and does not rely on the background. Third, Studies 1c and 2 explore the facial 203 features used by the classifier and shows that they are consistent with the theory (PHT). Fourth, 204 Studies 3 and 4 show that the images used here were not substantially more revealing of sexual 205 orientation than images of neutral faces taken in a controlled setting or images obtained from 206 Facebook.

207 Methods

Facial images. We obtained facial images from public profiles posted on a U.S. dating website. We recorded 130,741 images of 36,630 men and 170,360 images of 38,593 women between the ages of 18 and 40, who reported their location as the U.S. Gay and heterosexual people were represented in equal numbers. Their sexual orientation was established based on the gender of the partners that they were looking for (according to their profiles).

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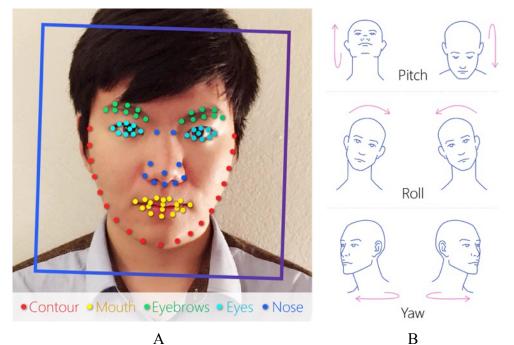


Figure 1. Graphical illustration of the outcome produced by Face++. Panel A illustrates facial landmarks (colored dots, n=83) and facial frame (blue box). Panel B illustrates pitch, roll, and yaw parameters that describe the head's orientation in space.

218

The location of the face in the image, outlines of its elements, and the head's orientation were extracted using a widely used face-detection software: Face++.³ Figure 1 shows the output of Face++ in a graphical format. The colored dots (Panel A) indicate the location of the facial landmarks outlining the contour and elements of the face. Additionally, Face++ provided the estimates of the head's yaw, pitch, and roll (Panel B).

Based on the Face++ results, we removed images containing multiple faces, partially hidden faces (i.e., with one or more landmarks missing), and overly small faces (i.e., where the

³ Face++ can be accessed at <u>http://www.faceplusplus.com</u>.

distance between the eyes was below 40 pixels). We also removed faces that were not facing the

227 camera directly (i.e., with a yaw greater than 15 degrees and a pitch greater than 10 degrees).

228

229 Table 1

230 Frequencies of Users and Facial Images, and the Age Distribution in the Final Sample Used in

231 Study 1

	Men		W	omen
	Gay	Heterosexual	Lesbian	Heterosexual
Unique users	3,947	3,947	3,441	3,441
Median age (IQR)	33 (30–36)	33 (30–36)	29 (25–34)	29 (25–34)
Total images	8,996	8,645	7,457	10,228
Users with at least:				
1 image	3,947	3,947	3,441	3,441
2 images	2,438	2,439	2,878	2,037
3 images	1,363	1,367	1,951	1,058
4 images	562	731	1,114	494
5 images	219	327	491	223

232 *Note.* IQR stands for interquartile range.

Next, we employed Amazon Mechanical Turk (AMT) workers to verify that the faces
were adult, Caucasian, fully visible, and of a gender that matched the one reported on the user's
profile. We limited the task to the workers from the U.S., who had previously completed at least
1,000 tasks and obtained an approval rate of at least 98%. Only faces approved by four out of six
workers were retained. See Figure S1 for the instructions presented to the workers.
Finally, we randomly removed some users to balance the age distribution of the sexual

240 orientation subsamples and their size—separately for each gender. The final sample contained

241 35,326 facial images of 14,776 gay and heterosexual (50/50%) men and women (53/47%; see

²³³

Table 1 for details). Facial images were cropped using the facial frame provided by Face++ (the blue box in Figure 1), and resized to 224 x 224 pixels.

244 Extracting facial features using a deep neural network. Facial features were extracted 245 from the images using a widely employed DNN, called VGG-Face (Parkhi, Vedaldi, & 246 Zisserman, 2015). VGG-Face was originally developed (or *trained*) using a sample of 2.6 million 247 images for the purpose of facial recognition (i.e., recognizing a given person across different 248 images). VGG-Face is similar to traditional scoring keys accompanying psychometric tests. A 249 traditional scoring key can be used to convert responses to test questions into one or more 250 psychometric scores, such as a single IQ score, or a set of five Big Five personality scores. VGG-251 Face translate a facial image into 4,096 scores subsuming its core features. Unfortunately, unlike 252 psychometric scores, VGG-Face scores are not easily interpretable. A single score might 253 subsume differences in multiple facial features typically considered to be distinct by humans 254 (e.g., nose shape, skin tone, or eye color).

255 VGG-Face offers two main advantages in the context of this study. First, successful facial 256 recognition depends on the DNN's ability to detect facial features that are unlikely to vary across 257 images. Thus, VGG-Face aims at representing a given face as a vector of scores that are as 258 unaffected as possible by facial expression, background, lighting, head orientation, image 259 properties such as brightness or contrast, and other factors that can vary across different images 260 of the same person. Consequently, employing VGG-Face scores enabled us to minimize the role 261 of such transient features when distinguishing between gay and heterosexual faces. Second, 262 employing a DNN trained on a different sample and for a different purpose, reduces the risk of 263 overfitting (i.e., discovering differences between gay and heterosexual faces that are specific to

264 our sample rather than universal). We also tried training a custom DNN directly on the images in 265 our sample; its accuracy was somewhat higher, but it exposed us to the risk of overfitting. 266 **Training classifiers.** We used a simple prediction model, logistic regression, combined 267 with a standard dimensionality-reduction approach: singular value decomposition (SVD). SVD is 268 similar to principal component analysis (PCA), a dimensionality-reduction approach widely used 269 by social scientists. The models were trained separately for each gender. 270 Self-reported sexual orientation (gay/heterosexual) was used as a dependent variable; 271 4,096 scores, extracted using VGG-Face, were used as independent variables. To prevent 272 overfitting, we used a 20-fold cross-validation when estimating the predictions. The sample was 273 split into 20 subsamples; one of the subsamples (test set) was put aside, while the remaining 19 274 subsamples (training sets) were used to train the prediction model. As the number of independent 275 variables was relatively large (4,096) when compared with the number of number of cases (7,083 in the smallest training set), we used SVD to extract n=500 dimensions⁴ from the independent 276 277 variables. This helped to reduce the number of independent variables and eliminate redundant 278 information. A logistic regression model was trained to classify sexual orientation (a dependent 279 280 variable) using 500 singular values extracted from VGG-Face scores (independent variables). 281 Least absolute shrinkage and selection operator (LASSO; Hastie, Tibshirani, & Friedman, 2009) 282 was used for variable selection and regularization when training the regression model. The

⁴ Dimensions extracted by SVD are referred to as singular values; they are an equivalent of principal components in the context of PCA.

283 LASSO penalty parameter α was set to 1; the regularization parameter λ was automatically 284 estimated using 10-fold cross-validation.

Finally, the model built on the training set, combining the SVD dimensionality reduction and logistic regression, was used to predict the sexual orientation of the participants in the test set. This procedure was repeated 20 times to assign a probability (ranging from 0 to 1) of being gay to all images in the sample.

For many users, more than one facial image was available. This enabled us to examine how the accuracy changes with the number of facial images available. To produce an aggregate probability of being gay based on *n* images, the probabilities associated with a randomly selected set of *n* images (ranging from 1 to 5) of a given participant were averaged.⁵ Thus, a participant with three facial images was described by three probabilities of being gay: one based on a single randomly selected image, one based on two randomly selected images, and one based on all three images.

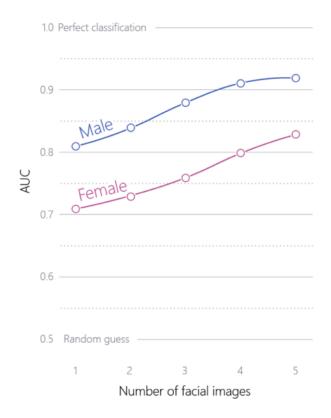
296 **Results**

The accuracy of predicting sexual orientation from facial images is presented in Figure 2. Across this paper, the accuracy is expressed using the area under receiver operating characteristic curve (AUC) coefficient. AUC represents the likelihood of a classifier being correct when presented with the faces of two randomly selected participants—one gay and one heterosexual.

⁵ Logit transformation is used whenever the probabilities are averaged in this work. This means that the probabilities are logit transformed and averaged, and the resulting values are converted back into probabilities using an inverse-logit transformation.

The AUC = .50 (or 50%) indicates that the classifier is correct only half of the time, which is no better than a random draw. The AUC = 1.00 (or 100%) indicates that the classifier is always correct. AUC is an equivalent of the Wilcoxon signed-rank test coefficient, used more widely in social sciences.

Among men, the classification accuracy equaled AUC = .81 when provided with one image per person. This means that in 81% of randomly selected pairs—composed of one gay and one heterosexual man—gay men were correctly ranked as more likely to be gay. The accuracy grew significantly with the number of images available per person, reaching 91% for five images. The accuracy was somewhat lower for women, ranging from 71% (one image) to 83% (five images per person).



311

312 *Figure 2*. The accuracy of the DNN-based sexual orientation classifier against the number of

313 images used in the classification.

314

Study 1b: Elements of the Facial Image Employed by the Classifier

The high accuracy of the classifier developed in Study 1a indicates that facial images contained much information related to sexual orientation, and that much of this was captured by the facial features extracted using the VGG-Face. This section examines which parts of the facial image enabled the classification. We address this question by masking parts of a facial image and measuring the degree to which the prediction has changed. If a given area of the image is important to the classifier, masking it is likely to significantly alter the prediction (and vice versa).

322 Methods

Facial images. The results were produced separately for each gender. Facial images of 100 male and 100 female users were randomly drawn from the sample used in Study 1a. The faces were adjusted to ascertain that a given facial feature (e.g., the mouth) was in exactly the same place in all of the images. This was achieved by warping images (using piecewise linear 2D transformation) to align them along nine landmarks (the left and right eye corners, left and right mouth corners, nose tip, and left and right nose corners).

329 Sexual orientation classifier. We used the remaining images from Study 1a to train the 330 sexual orientation classifiers (separately for men and women) following the procedure described 331 in Study 1a.

Analysis. We used the sexual orientation classifiers to estimate the probability of being gay for the faces in the samples used here. Next, an area of 7 x 7 pixels in the top-left corner was masked in all 100 images and the probability of being gay was estimated again. The procedure was repeated 1,024 times while sliding the mask across the grid covering the entire image, composed of 32 x 32 squares (each sized at 7 x 7 pixels). The average absolute change in the

probability of being gay, resulting from masking a given area of the image, was used as a proxyfor the importance of a given area to the prediction of sexual orientation.

339 Results

340 The results are presented in Figure 3 as heat maps showing the degree to which masking 341 a given part of an image changes the classification outcome. The color scale ranges from blue 342 (no change) to red (substantial change). Heat maps reveal that, for both genders, classification 343 mainly relied on the facial area and ignored the background. The most informative facial areas 344 among men included the nose, eyes, eyebrows, cheeks, hairline, and chin; informative areas 345 among women included the nose, mouth corners, hair, and neckline. The heat maps are not 346 symmetrical because duplicated facial features, such as eyes, may prompt the classifier to focus 347 on only one of them and ignore the other as redundant.

348 The results presented here confirm that the VGG-Face scores extracted here focus on the facial

349 features rather than on other parts of the image.



350 *Figure 3.* Heat maps showing the degree to which masking a given part of an image changes the

351 (absolute) classification outcome, which is a proxy for the importance of that region in

352	classification. The color scale ranges from blue (no change) to red (substantial change). The
353	color-coded squares were smoothed using 2D Gaussian filtering.

354

355

Study 1c: Facial Features Predictive of Sexual Orientation

Having established that the classification is based on facial features (as opposed to other elements of the image), we turn our attention to the differences between gay and heterosexual faces that enabled the classification. We examine this question by aggregating images classified as most and least likely to be gay in Study 1a.

360 Methods

Facial images. The results were produced separately for each gender. We used facial images and accompanying probabilities of being gay from Study 1a and retained those containing faces facing the camera directly (the head's pitch and yaw, as estimated by Face++, was lower than two degrees). Next, we selected a subset of images classified as most likely to be gay and a subset of images classified as least likely to be gay. We used subsets of 500 images per set to generate average landmarks' locations and 100 images per set to generate composite faces.

367 Average landmarks' location. The distances between facial landmarks, extracted using
 368 Face++ (see Figure 1), were normalized by setting the distance between the pupils to 1. The
 369 faces were centered and rotated to align the eyes horizontally, and the landmark coordinates were
 370 averaged.

371 Composite face. To obtain clearer composite faces, the images were warped using a
372 piecewise linear 2D transformation along the average location of Face++ landmarks (the pixels
373 of each image were transformed using bi-cubic interpolation). The values of corresponding
374 pixels were averaged across images to produce composite faces.

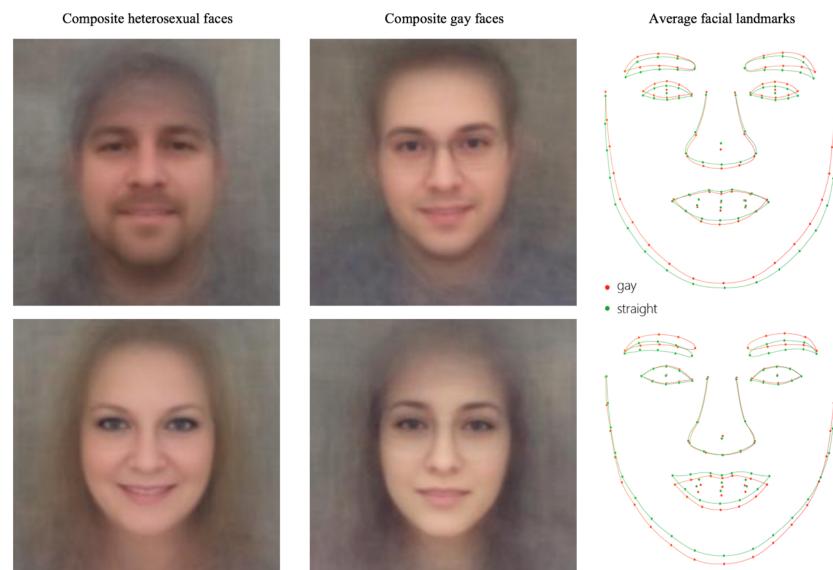
375 Results

Figure 4 shows the average landmark locations and aggregate appearance of the faces classified as most and least likely to be gay. Consistent with the PHT, gay faces tended to be gender atypical. Average landmark locations revealed that gay men had narrower jaws and longer noses, while lesbians had larger jaws. Composite faces suggest that gay men had larger foreheads than heterosexual men, while lesbians had smaller foreheads than heterosexual women. The differences between the outlines of faces and facial features of gay and heterosexual individuals are further explored in Study 3.

383 The gender atypicality of gay faces extended beyond morphology. Gay men had less 384 facial hair, suggesting differences in androgenic hair growth, grooming style, or both. They also 385 had lighter skin, suggesting potential differences in grooming, sun exposure, and/or testosterone levels.⁶ Lesbians tended to use less eve makeup, had darker hair, and wore less revealing clothes 386 387 (note the higher neckline), indicating less gender-typical grooming and style. Furthermore, 388 although women tend to smile more in general (Halberstadt, Hayes, & Pike, 1988), lesbians 389 smiled less than their heterosexual counterparts. Additionally, consistent with the association 390 between baseball caps and masculinity in American culture (Skerski, 2011), heterosexual men

⁶ Male facial image brightness correlates 0.19 with the probability of being gay, as estimated by the DNN-based classifier. While the brightness of the facial image might be driven by many factors, previous research found that testosterone stimulates melanocyte structure and function leading to a darker skin. (This is also why males tend to have darker skin than females in a given population; Glimcher, Garcia, & Szabó, 1978; Jablonski & Chaplin, 2000).

- 391 and lesbians tended to wear baseball caps (see the shadow on their foreheads; this was also
- 392 confirmed by a manual inspection of individual images). The gender atypicality of the faces of
- 393 gay men and lesbians is further explored in Study 2.



Male

Female

395 *Figure 4*. Composite faces and the average facial landmarks built by averaging faces classified as most and least likely to be gay.

396	23 Study 2: Gender Atypicality of Gay People's Faces
397	The qualitative analysis of the composite faces and average landmarks' locations for gay
398	and heterosexual faces presented in Study 1c suggest that the faces of gay men and lesbians tend
399	to be gender atypical. We test this hypothesis by using a data-driven measure of facial
400	femininity: the DNN-based gender classifier.
401	Methods
402	Facial images. We used facial images and accompanying probabilities of being gay
403	estimated in Study 1a.
404	Facial femininity. We measured facial femininity by using a gender classifier that
405	assigns a probability of being female to each facial image. This gender classifier was developed
406	on an independent sample of 2,891,355 facial images of Facebook users obtained from the
407	myPersonality.org project (Kosinski, Matz, Gosling, Popov, & Stillwell, 2015). We used the
408	same approach to preprocess facial images and train the classifier, as described in Study 1a. This
409	time, however, we used gender as the dependent variable. This gender classifier was applied to
410	all facial images in the sample used in Study 1a. The accuracy of this classifier, when predicting
411	gender, equaled $AUC = .98$.
412	Results
413	The results show that the faces of gay men were more feminine and the faces of lesbians
414	were more masculine than those of their respective heterosexual counterparts. Among men, the
415	data-driven measure of facial femininity positively correlated with the probability of being gay (r

416	= 0.20; p<.001; 95% CI [0.19, 0.21]). ⁷ The opposite was true for women (r = -0.21; p<.001; 95%
417	CI [-0.21, -0.20]).
418	Facial femininity alone allowed for classifying gay and heterosexual faces with some
419	accuracy: $AUC = .57$ for men and $AUC = .58$ for women (based on one facial image).
420	Study 3: Morphology-Based Classifier
421	Study 1c shows the differences between the outlines of faces and facial features of gay
422	and heterosexual individuals. The current study shows that such basic non-transient
423	morphological features, such as the outline of the nose or facial contour, provide enough
424	information to accurately classify sexual orientation.
425	Methods
426	Facial images. We used the same sample as in Study 1a.
427	Extracting morphological features. We extracted morphological features from the
428	coordinates of the 83 landmarks outlining important facial features provided by Face++ (see
429	Figure 1). To subsume the shape of a given facial feature, such as the nose, we computed
430	Euclidean distances between the landmarks belonging to that feature. For example, as there are
431	10 landmarks outlining the nose (see Figure 1), its morphology was subsumed by a vector of 10
432	x 9 = 90 Euclidean distances. To account for the differing sizes of the faces in facial images, the
433	distances were normalized by dividing them by the distance between the pupils.

⁷ Pearson product-moment correlation was used. Probabilities were logit transformed.

This approach was applied to the following facial elements: nose, eyes, eyebrows, mouth, contour of the face, and entire face (see Figure 1 for the mapping between landmarks and facial elements).

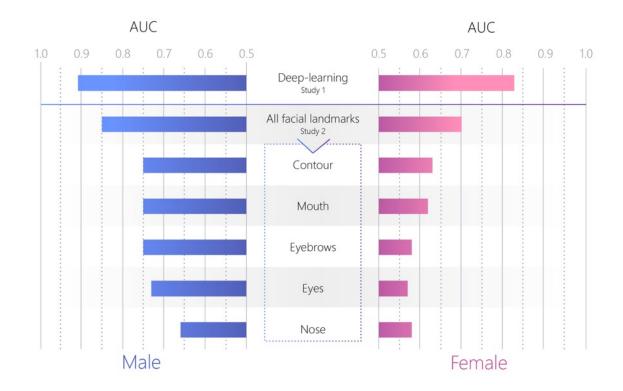
Training classifiers. The classifiers were trained, separately for each facial element and for all facial landmarks combined, following a procedure similar to the one used in Study 1a. Here, however, we used Euclidean distances instead of the VGG-Face scores as independent variables. If the number of distances describing a given facial element was higher than 500, we used SVD to reduce their number to 500 (in the same way as SVD was used to reduce the number of VGG-Face scores in Study 1a).

443 **Results**

444 The accuracies of the landmark-based classifiers based on five images per person are 445 presented in Figure 5. The results show that the shape of individual facial elements enabled high 446 classification accuracy for both genders. A notably high accuracy was provided by facial contour 447 alone (red landmarks in Figure 1): 75% for men and 63% for women. This provides additional 448 support for the link between jaw shape between gay and heterosexual faces observed in Study 1c 449 (see Figure 4). While the outline of the eyes, eyebrows, and mouth is—to some extent—affected 450 by facial expression and grooming, facial contour is relatively inflexible, emphasizing the 451 predictive power of fixed morphological traits.

The high performance of the contour-based classifiers, and fair performance of the nosebased ones, suggest that the shape of these (relatively fixed) facial elements is sufficient to detect sexual orientation. Overall, the performance of the landmark-based classifiers is remarkable given how little information from the original image is retained in the landmarks' locations.

456



457

Figure 5. The accuracy of the landmark-based classifiers, when provided with five images per
person. The accuracy of the DNN-based classifier trained in Study 1a is displayed on top of the
figure for comparison.

461

Study 4: Human Judges

462 Study 1a shows that sexual orientation can be accurately determined from non-463 standardized facial images using a DNN. Study 3 shows that even the most basic non-transient 464 morphological features, such as the shape of the contour of the face, provide sufficient 465 information to predict sexual orientation. It is possible, however, that facial images posted on a 466 dating website are particularly revealing of sexual orientation. Perhaps the users selected the 467 photos that their desired partners might find the most appealing.

468	We tested this hypothesis by employing a sexual orientation classifier of known accuracy:
469	human judges. ⁸ We show that the accuracy of the human judges, who were presented with the
470	facial images employed in Study 1a, does not differ from the human judges' accuracy reported in
471	the previous studies employing both: standardized images taken in the lab and dating website
472	profile pictures.
473	Methods
474	Facial images. The 35,326 faces from Study 1a were randomly paired, resulting in
475	50,000 pairs for each gender (each face could be assigned to multiple pairs).
476	Human judges. We employed AMT workers from the U.S., who had previously
477	completed at least 1,000 tasks and obtained an approval rate of at least 98%. They were asked to
478	select the facial image more likely to represent a gay (or, in half of the cases, heterosexual)
479	person from two, randomly ordered, facial images (one belonging to a gay and one to a
480	heterosexual individual). Note that the accuracy of human judges on a task designed in this way
481	is an equivalent of the AUC coefficient used to express the algorithms' accuracy. The instructions
482	presented to the workers are shown in Figure S2.
483	Results
484	Human judges achieved an accuracy of AUC=.61 for male images and AUC=.54 for
485	female images. This is comparable with the accuracy obtained in the previous studies, which

486 ranged from approximately 55 to 65% (Ambady et al., 1999; Lyons et al., 2014; Rule et al.,

⁸ We also considered applying the DNN-based classifier to the samples used in previous studies. We could not, however, convince their authors to share their samples with us.

487	2009). It is also compatible with the findings of Study 1a, which show that female faces are less
488	revealing of sexual orientation. Finally, it demonstrates that the facial images used in our study
489	were not unusually revealing of sexual orientation (at least to humans).
490	Study 5: Beyond Dating Website Facial Images
491	This study shows that the accuracy of the DNN-based classifier trained in Study 1a is not
492	limited to facial images collected on a dating website, but could also correctly classify facial
493	images recorded in a different environment: Facebook.
494	Methods
495	Facial images. We obtained a sample of 14,438 facial images of 6,075 openly gay men
496	from the myPersonality database (Kosinski et al., 2015). Gay males were identified using two
497	variables. First, we used the Facebook Audience Insights platform ⁹ to identify 50 Facebook
498	Pages most popular among gay men, including Pages such as: "I love being Gay," "Manhunt,"
499	"Gay and Fabulous," and "Gay Times Magazine." Second, we used the "interested in" field of
500	users' Facebook profiles, which reveals the gender of the people that a given user is interested in.
501	Males that indicated an interest in other males, and that liked at least two out of the
502	predominantly gay Facebook Pages, were labeled as gay. Among the gay men identified in this
503	way, and for whom relationship data was available, 96% reported that their significant other was
504	male. Unfortunately, we were not able to reliably identify heterosexual Facebook users.
505	Those images were preprocessed and their VGG-Face scores extracted using the
506	procedure described in Study 1a. The final sample contained n=918 facial images of unique
507	users, characterized by an average age of 30 and interquartile range of [27-34]. This sample was

⁹ https://www.facebook.com/ads/audience-insights

508 matched with two subsamples (of gay and heterosexual males) of facial images used in Study 1a.

509 Those subsamples matched the Facebook sample in both size and age distribution.

510 **Results**

511 We applied the classifier trained in Study 1a (employing the VGG-Face scores as an 512 independent variable) to distinguish between the faces of male gay Facebook users, male 513 heterosexual dating-website users, and male gay dating-website users. The classifier could 514 accurately distinguish between gay Facebook users and heterosexual dating-website users in 515 74% of cases, but was virtually unable to distinguish between gay Facebook users and gay 516 dating-website users (53%). This demonstrates that the classifier trained in Study 1a can 517 correctly identify facial images of gay men obtained in a different environment. It also shows 518 that this classifier is largely insensitive to the origin of the image, as it was unable to distinguish 519 between gay Facebook users and gay dating website users.

520

General Discussion

521 The findings reported in this work show that our faces contain more information about 522 sexual orientation than can be perceived or interpreted by the human brain. Study 1a showed that 523 facial features extracted by a DNN can be used to accurately identify the sexual orientation of 524 both men and women. Study 1b showed that the predictions are based on the facial area and not 525 the background. Study 1c revealed that the faces of gay men and lesbians had gender-atypical 526 features, as predicted by the PHT. This was corroborated by the results of Study 2 showing that 527 the probability of being gay was positively correlated with facial femininity among males and 528 negatively correlated with female facial femininity. The high accuracy of the classifier based on 529 the shape of facial elements, presented in Study 3, confirmed that much of the information about 530 sexual orientation is retained in fixed facial features, such as the facial contour or shape of the

nose. Study 4 revealed that the non-standardized facial images used in Study 1a were not especially revealing of sexual orientation—at least to human judges, whose accuracy was the same as in previous studies, some of which employed images of neutral faces taken in a carefully controlled environment. Study 5 further corroborated these results by showing that the DNNbased classifier developed in Study 1a performs similarly when presented with facial images of gay men collected in a different environment.

537 Our results provide strong support for the PHT, which argues that same-gender sexual 538 orientation stems from the underexposure of male fetuses and overexposure of female fetuses to 539 prenatal androgens responsible for the sexual differentiation of faces, preferences, and behavior 540 (Allen & Gorski, 1992; Jannini et al., 2010; Udry & Chantala, 2006). Consistent with the 541 predictions of the PHT, gay men's and gay women's faces were gender atypical-in terms of 542 both fixed (e.g., nose shape) and transient facial features (e.g., grooming style). Some of the 543 differences between gay and heterosexual individuals, such as the shape of the nose or jaw, are 544 most likely driven by developmental factors. In other cases, nature and nurture are likely to be as 545 intertwined as in many other contexts. For example, it is unclear whether gay men were less 546 likely to wear a beard because of nature (sparser facial hair) or nurture (fashion). If it is, in fact, 547 fashion (nurture), to what extent is such a norm driven by the tendency of gay men to have 548 sparser facial hair (nature)? Alternatively, could sparser facial hair (nature) stem from potential 549 differences in diet, lifestyle, or environment (nurture)? Interestingly, female faces seem to be less 550 revealing of sexual orientation, suggesting a weaker link between sexual orientation and prenatal 551 androgen levels among females, or larger fluidity of their sexual orientation. 552 Identifying links between facial features and psychological traits by employing

553 methodology similar to the one used here could boost our understanding of the origins and nature

554 of a broad range of psychological traits, preferences, and psychological processes. Many of the 555 factors that can be approximated from human faces, such as pre- and post-natal hormonal levels 556 (Jones et al., 2015; Lefevre et al., 2013; Whitehouse et al., 2015), developmental history (Astley 557 et al., 2002), environmental factors, and genes (Ferry et al., 2014), are otherwise difficult to 558 measure. Identifying links between facial features with known links to such factors and 559 psychological traits or behaviors could provide a convenient avenue to generate hypotheses that 560 could be later verified in experimental studies. We hope that future research will explore the links 561 between facial features and other phenomena, such as personality, political views, or 562 psychological conditions.

563 Importantly, we would like to warn our readers against misinterpreting or 564 overinterpreting this study's findings. First, the fact that the faces of gay men and lesbians are, on 565 average gender atypical, does not imply that all gay men are more feminine than all heterosexual 566 men, or that there are no gay men with extremely masculine facial features (and vice versa in the 567 case of lesbians). The differences in femininity observed in this study were subtle, spread across 568 many facial features, and apparent only when examining averaged images of many faces. 569 Second, our results in no way indicate that sexual orientation can be determined from faces by 570 humans. In fact, Study 4 confirms that humans are rather inaccurate when distinguishing 571 between facial images of gay and homosexual individuals. Finally, interpreting classification 572 accuracy is not trivial and is often counterintuitive. The AUC = .91 does not imply that 91% of 573 gay men in a given population can be identified, or that the classification results are correct 91% 574 of the time. The performance of the classifier depends on the desired trade-off between precision 575 (e.g., the fraction of gay people among those classified as gay) and recall (e.g., the fraction of

576 gay people in the population correctly identified as gay). Aiming for high precision reduces577 recall, and vice versa.

578 Let us illustrate this trade-off in a simulated scenario based on the results presented in this 579 work. We simulated a sample of 1,000 men by randomly drawing participants, and their 580 respective probabilities of being gay, from the sample used in Study 1a. As the prevalence of 581 same-gender sexual orientation among men in the U.S. is about 6–7% (Sell, Wells, & Wypij, 582 1995), we drew 70 probabilities from the gay participants, and 930 from the heterosexual 583 participants. We only considered participants for whom at least 5 facial images were available; 584 note that the accuracy of the classifier in their case reached an AUC = .91. 585 Setting the threshold above which a given case should be labeled as being gay depends 586 on a desired trade-off between precision and recall. To maximize precision (while sacrificing 587 recall), one should select a high threshold or select only a few cases with the highest probability 588 of being gay. Among 1% (i.e., 10) of individuals with the highest probability of being gay in our 589 simulated sample, 9 were indeed gay and 1 was heterosexual, leading to the precision of 90% 590 (9/10 = 90%). This means, however, that only 9 out of 70 gay men were identified, leading to a 591 low recall of 13% (9/70 = 13%). To boost recall, one needs to sacrifice some of the precision. 592 Among 30 individuals with the highest probability of being gay, 23 were gay and 7 were 593 heterosexual (precision = 23/30 = 77%; recall = 23/70 = 33%). Among the top 100 males most 594 likely to be gay, 47 were gay (precision = 47%; recall = 68%). 595 This study has a number of limitations. We used nonstandardized images characterized by 596 varying quality, head orientation, or facial expression. This provides for higher ecological 597 validity and a larger, more representative sample, but also introduces confounders (as discussed

in Study 1a). Additionally, as the images were obtained from a dating website, they might have

599 been especially revealing of sexual orientation. We believe that we sufficiently addressed this 600 problem by employing a model specifically trained to focus on non-transient facial features 601 (Study 1a), by showing that facial features enabling the prediction were consistent with the 602 theory (PHT; Studies 1c and 2), and by making sure that the images used here were not 603 substantially more revealing of sexual orientation than images of neutral faces taken in a 604 controlled setting (Study 4) or images obtained from Facebook (Study 5). Another issue pertains 605 to the quality of the ground truth: it is possible that some of the users categorized as heterosexual 606 were, in fact, gay or bisexual (or vice versa). However, we believe that people voluntarily 607 seeking partners on the dating website have little incentive to misrepresent their sexual 608 orientation. Furthermore, if some of the users were, in fact, wrongly labelled, correcting such 609 errors would likely boost the accuracy of the classifiers examined here. Additionally, despite our 610 attempts to obtain a more diverse sample, we were limited to studying white participants from 611 the U.S. As the prejudice against gay people and the adoption of online dating websites is 612 unevenly distributed across groups characterized by different ethnicities, we could not find 613 sufficient numbers of non-white gay participants. We believe, however, that our results will 614 likely generalize beyond the population studied here. They are consistent with the PHT of sexual 615 orientation, which was supported by variety of studies of humans and other mammals (Hines, 616 2010). As the exposure to gender-atypical androgen levels is likely to affect the faces of people 617 of different races to a similar degree, it is likely that their facial features are equally revealing of 618 sexual orientation. Finally, it is possible that individuals with more discernibly gay faces are 619 more likely to "come out." If true, a classifier trained on the faces of openly gay users would be 620 less accurate when detecting non-openly gay individuals. While we do not have data to test this 621 hypothesis, it must be noted that coming out depends on many social, cultural, and legal factors.

Users who came out in our sample may wish or need to maintain their privacy in many contexts
and places. Thus, while some faces might be less revealing, many others may prevent their
owners from controlling their privacy of sexual orientation.

625 This brings us to perhaps the most critical nontheoretical ramification of these findings: 626 privacy. Previous studies found that sexual orientation can be detected from an individual's 627 digital footprints, such as social network structure (Jernigan & Mistree, 2009) or Facebook Likes 628 (Kosinski, Stillwell, & Graepel, 2013). Such digital footprints, however, can be hidden, 629 anonymized, or distorted. One's face, on the other hand, cannot be easily concealed. A facial 630 image can be easily taken and analyzed (e.g., with a smartphone or through CCTV). Facial 631 images of billions of people are also stockpiled in digital and traditional archives, including 632 dating platforms, photo-sharing websites, and government databases. Such pictures are often 633 easily accessible; Facebook, LinkedIn, and Google Plus profile pictures, for instance, are public 634 by default and can be accessed by anyone on the Internet. Our findings suggest that such publicly 635 available data and conventional machine learning tools could be employed to build accurate 636 sexual orientation classifiers. As much of the signal seems to be provided by fixed morphological 637 features, such methods could be deployed to detect sexual orientation without a person's consent 638 or knowledge. Moreover, the accuracies reported here are unlikely to constitute an upper limit of 639 what is possible. Employing images of a higher resolution, larger numbers of images per person, 640 larger training set, and more powerful DNN algorithms (e.g., He, Zhang, Ren, & Sun, 2015) 641 could further boost accuracy.

642 Some people may wonder if such findings should be made public lest they inspire the 643 very application that we are warning against. We share this concern. However, as the 644 governments and companies seem to be already deploying face-based classifiers aimed at

detecting intimate traits (Chin & Lin, 2017; Lubin, 2016), there is an urgent need for making 645 646 policymakers, the general public, and gay communities aware of the risks that they might be 647 facing already. Delaying or abandoning the publication of these findings could deprive 648 individuals of the chance to take preventive measures and policymakers the ability to introduce 649 legislation to protect people. Moreover, this work does not offer any advantage to those who may 650 be developing or deploying classification algorithms, apart from emphasizing the ethical 651 implications of their work. We used widely available off-the-shelf tools, publicly available data, 652 and methods well known to computer vision practitioners. We did not create a privacy-invading 653 tool, but rather showed that basic and widely used methods pose serious privacy threats. We hope 654 that our findings will inform the public and policymakers, and inspire them to design technologies and write policies that reduce the risks faced by homosexual communities across 655 the world.¹⁰ 656

The growing digitalization of our lives and rapid progress in AI continues to erode the privacy of sexual orientation and other intimate traits. Policymakers and technology companies seem to believe that legislation and new technologies offering individuals more control over their digital footprints can reverse this trend. However, the digital environment is very difficult to police. Data can be easily moved across borders, stolen, or recorded without users' consent. Furthermore, even if users were given full control over their data, it is hard to imagine that they would not share anything publicly. Most people want some of their social media posts, blogs, or

¹⁰ The results reported in this paper were shared, in advance, with several leading international LGBTQ organizations.

664	profiles to be public. Few would be willing to cover their faces while in the public. As this and
665	other studies show (e.g., Kosinski et al., 2013), such willingly shared digital footprints can be
666	used to reveal intimate traits. Consequently, we believe that further erosion of privacy is
667	inevitable, and the safety of gay and other minorities who may be ostracized in some cultures
668	hinges on the tolerance of societies and governments. The postprivacy world will be a much
669	safer and hospitable place if inhabited by well-educated, tolerant people who are dedicated to
670	equal rights.

671

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Identify Adult Caucasian Males

Instructions

You will see 50 sets of 4 faces. Your job is to select **complete** faces belonging to **adult Caucasians males**. Any given set can contain between 0 to 4 adult male Caucasian faces.

You can use Back and Next button to navigate through different sets. Please use the best of your intuition. We will carefully review the results to identify spammers.

We welcome your feedback! There are going to be more HITs like these!

Details

- 1. Some images might contain a grey space on the side. It's normal and shouldn't affect your selections.
- Some faces might be blurry. As long as you can recognize that the image represents an adult Caucasian male, the face should be accepted.
- Faces partially covered by hats, sunglasses and hair are considered complete as long as you can recognize an adult Caucasian male.

Examples



Correct: Caucasian, adult, male and complete face



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866 Figure S1. Instructions given to AMT workers employed to remove incomplete, non-Caucasian,

867 nonadult, and nonhuman male faces. We used similar instructions for female faces.

Which one is more likely to be straight (heterosexual)?

Instructions:

You will see 20 pairs of faces. Your job is to select the person that is more likely to be **straight** (heterosexual) by clicking on the corresponding image.

You can use **Back** and **Next** button to navigate through different pairs. **Please use** the best of your intuition. We will carefully review the results to identify spammers.

We welcome your feedback! There are going to be more HITs like these!

We want to have a larger pool of workers in these tasks. Please don't do more than 5 HITs. Thanks!





Next

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Figure S2. Instructions given to AMT workers employed to classify heterosexual and gay faces.